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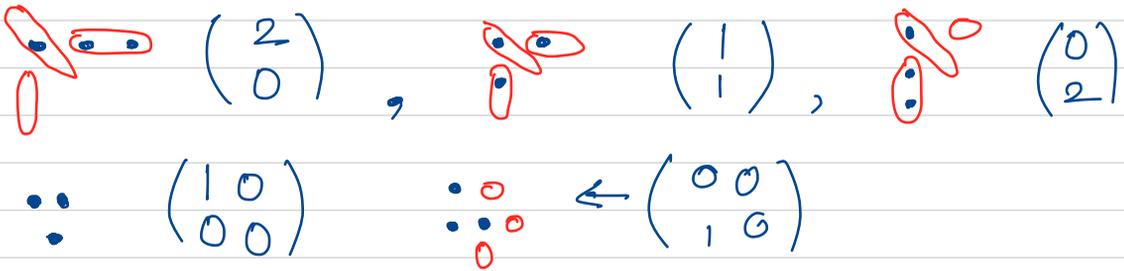
# MA 633 - Theory of partitions - Lec. 40

①  $A_k$  denotes the condition that "each part is repeated at most  $k$  times".

$$\Phi_k(q) = \prod_{A_k, A_k} (q) := \sum_{n=0}^{\infty} \phi_{A_k, A_k}(n) q^n = \sum_{n=0}^{\infty} \phi_k(n) q^n.$$

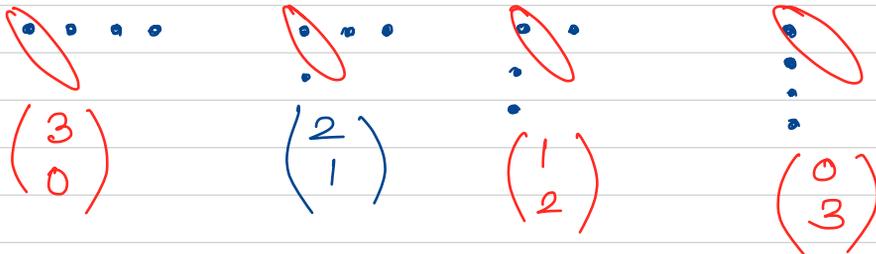
Note:  $\phi_1(n) = p(n)$ .

Now let us take an example of  $\phi_2(3) =$  the number of  $F$ -partitions of 3 where the parts are allowed to repeat at most twice. are given by



$\begin{pmatrix} 2 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 2 \end{pmatrix}$   
 $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \leftarrow \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$

② partitions enumerated by  $\phi_3(4)$ :



$\begin{pmatrix} 3 \\ 0 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 0 \\ 3 \end{pmatrix}$

$$\begin{array}{ccccc}
 \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} & \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \\
 \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} & \begin{pmatrix} 2 & 0 \\ 0 & 0 \end{pmatrix} & \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} & \begin{pmatrix} 0 & 0 \\ 2 & 0 \end{pmatrix} & \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}
 \end{array}$$

$$\begin{array}{cc}
 \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} & \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \\
 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \Rightarrow \phi_3(4) = 1
 \end{array}$$

Generating function for  $\Phi_k(q) := \sum_{n=0}^{\infty} \phi_k(n) q^n$ .

Thm. 53 For  $|q| < 1$ ,

$$\Phi_k(q) = \sum_{m_1, m_2, \dots, m_{k-1} = -\infty}^{\infty} \zeta^{(k-1)m_1 + (k-2)m_2 + \dots + m_{k-1}} q^{Q(m_1, m_2, \dots, m_{k-1})} \prod_{n=1}^{\infty} (1 - q^n)^k,$$

where  $\zeta = \exp\left(\frac{2\pi i}{k+1}\right)$  &

$$Q(m_1, m_2, \dots, m_{k-1}) = m_1^2 + \dots + m_{k-1}^2 + \sum_{1 \leq i < j \leq k-1} m_i m_j.$$

Proof: (Note that

$$\Phi_1(q) \text{ is the constant term (w.r.t. } z) \text{ in } \prod_{n=1}^{\infty} (1 + zq^n)(1 + z^{-1}q^{n-1}).$$

Similarly,  $\bar{\Phi}_k(q)$  is the constant term in

$$\prod_{n=1}^{\infty} (1 + zq^n + z^2q^{2n} + \dots + z^kq^{kn}) (1 + z^{-1}q^{n-1} + z^{-2}q^{2(n-1)} + \dots + z^{-k}q^{k(n-1)})$$

$$= \prod_{n=1}^{\infty} \frac{1 - z^{k+1}q^{(k+1)n}}{1 - zq^n} \cdot \frac{1 - z^{-(k+1)}q^{(k+1)(n-1)}}{1 - z^{-1}q^{n-1}}$$

[If  $\zeta = e^{\frac{2\pi i}{k+1}}$ , then

$$\prod_{j=0}^k (1 - \zeta^j x) = 1 - x^{k+1}$$

(Example:  $(1-x)(1-\omega x)(1-\omega^2 x) = (1-x^3)$   
where  $\omega = e^{2\pi i/3}$ )

$$= \prod_{n=1}^{\infty} \frac{\prod_{j=0}^k (1 - \zeta^j zq^n) (1 - \zeta^j z^{-1}q^{n-1})}{(1 - zq^n) (1 - z^{-1}q^{n-1})}$$

$$= \prod_{n=1}^{\infty} \prod_{j=1}^k (1 - \zeta^j zq^n) (1 - \zeta^j z^{-1}q^{n-1})$$

$$= \prod_{n=1}^{\infty} \prod_{j=1}^k (1 - \zeta^j zq^n) (1 - \bar{\zeta}^j z^{-1}q^{n-1})$$

(replacing  $j$  by  $k+1-j$  only in the second product)

$$= \prod_{j=1}^k \prod_{n=1}^{\infty} (1 - \tau^j z q^n) (1 - \bar{\tau}^j z^{-1} q^{n-1})$$

$$= \prod_{j=1}^k (\tau^j z q)_{\infty} (\bar{\tau}^{-j} z^{-1})_{\infty}$$

$$= \frac{1}{(q; q)_{\infty}^k} \prod_{j=1}^k \left[ (\tau^j z q)_{\infty} (\bar{\tau}^{-j} z^{-1})_{\infty} (q)_{\infty} \right]$$

$$= \frac{1}{(q; q)_{\infty}^k} \prod_{j=1}^k \sum_{m_j = -\infty}^{\infty} (-\tau^j z)^{m_j} q^{\frac{m_j(m_j+1)}{2}}$$

(From JTP I :

$$\sum_{m=-\infty}^{\infty} x^n q^{\frac{n(n+1)}{2}} = (-xq; q)_{\infty} (-x^{-1})_{\infty} (q)_{\infty} .)$$