

Our MAIN FOCUS IN THIS COURSE

- 1) RUNNING TIME
- 2) CORRECTNESS

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Q: HOW TO PROVE THAT THE ALGORITHM IS CORRECT?

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A: FIND PATTERNS IN YOUR ALGORITHM.

OUR MAIN FOCUS IN THIS COURSE

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Q: HOW TO PROVE THAT THE ALGORITHM IS CORRECT?

A: FIND PATTERNS IN YOUR ALGORITHM.

↑

{ NON TRIVIAL
NOVEL
EXCITING }


```
min ← A[1]
for i ← 2 to n
{
  if A[i] < min
  min ← A[i]
}
return min
```

Q: PROVE THAT THIS ALGORITHM IS CORRECT

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min ← A[1]
for i ← 2 to n
{
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```

Q: PROVE THAT THIS ALGORITHM IS CORRECT

PATTERN OR PROPERTY OR FEATURE OR OBSERVATION

Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

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min ← A[1]
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Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF: BY INDUCTION

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min ← A[1]
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Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF: BY INDUCTION

1) \square
 $i=1$

BASE CASE

```

min ← A[1]
for i ← 2 to n
  if A[i] < min
    min ← A[i]
return min

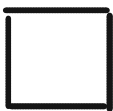
```

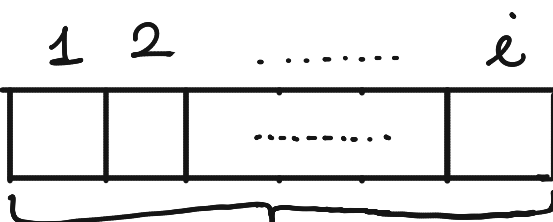
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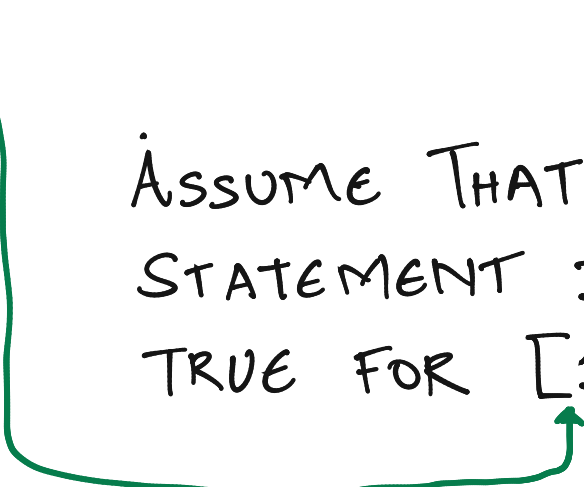
PROOF: BY INDUCTION

1) 
 $i = 1$

2) 

BASE CASE

ASSUME THAT THE STATEMENT IS TRUE FOR $[1 \dots i]$



```

min ← A[1]
for i ← 2 to n
{
  if A[i] < min
  min ← A[i]
}
return min

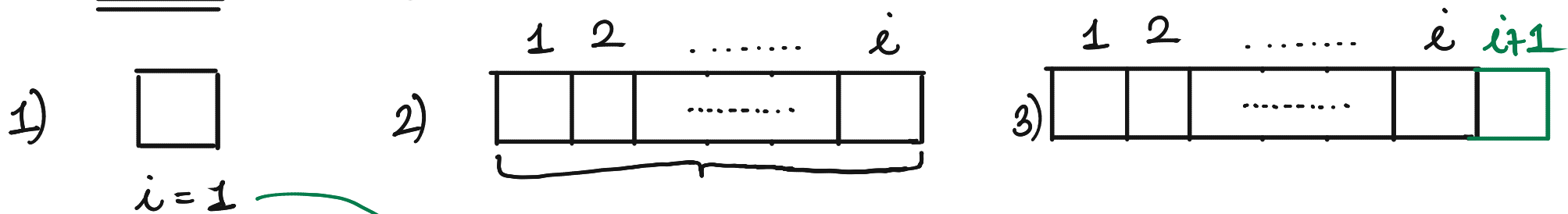
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PATTERN OR PROPERTY OR FEATURE OR OBSERVATION

Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF: BY INDUCTION



BASE CASE

ASSUME THAT THE STATEMENT IS TRUE FOR $[1 \dots i]$

\Rightarrow PROVE THAT THE STATEMENT IS TRUE FOR $i+1$

```
min ← A[1]
for i ← 2 to n
{
  if A[i] < min
  min ← A[i]
}
return min
```

Q: PROVE THAT THIS ALGORITHM IS CORRECT

PATTERN OR PROPERTY OR FEATURE OR OBSERVATION

Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF: BY INDUCTION

1) BASE CASE, $i = 1$. TRIVIALY TRUE

```

min ← A[1]
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}
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```

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1) BASE CASE, $i = 1$. TRIVIAALLY TRUE



\Rightarrow AFTER THE i^{th} ITERATION min CONTAINS MINIMUM OF $A[1 \dots i]$

STATEMENT IS TRUE FOR $[1 \dots i]$


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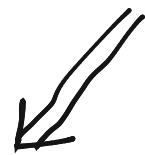
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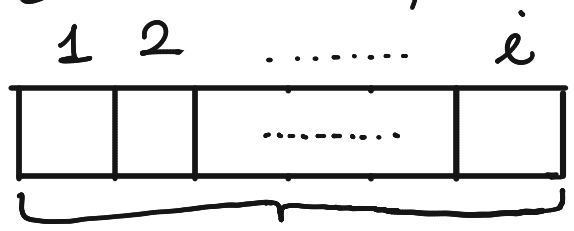


3) SHOW THAT AFTER THE $(i+1)^{\text{th}}$ ITERATION, min CONTAINS MINIMUM OF $A[1 \dots i+1]$

Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF; BY INDUCTION

1) BASE CASE, $i = 1$. TRIVIAALLY TRUE

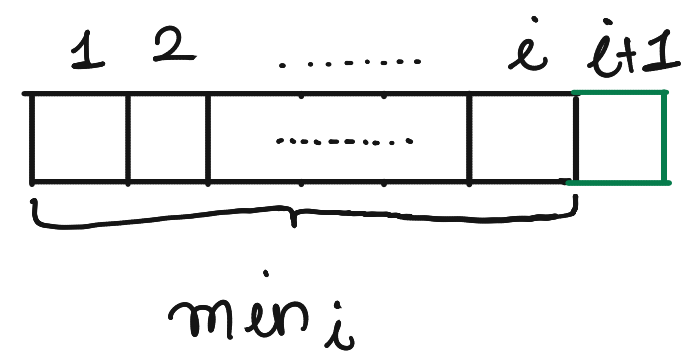


\Rightarrow AFTER THE i^{th} ITERATION min CONTAINS MINIMUM OF $A[1 \dots i]$

STATEMENT IS TRUE FOR $[1 \dots i]$



2) SHOW THAT AFTER THE $(i+1)^{\text{th}}$ ITERATION, min CONTAINS MINIMUM OF $A[1 \dots i+1]$

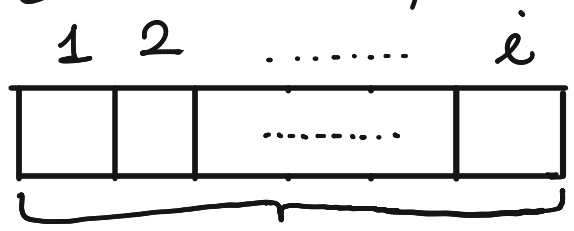


$$\text{min}_{i+1} = \text{minimum} \{ \text{min}_i, A[i+1] \}$$

Lemma: AFTER THE i^{th} ITERATION, min CONTAINS THE MINIMUM OF $A[1 \dots i]$.

PROOF; BY INDUCTION

1) BASE CASE, $i = 1$. TRIVIAALLY TRUE

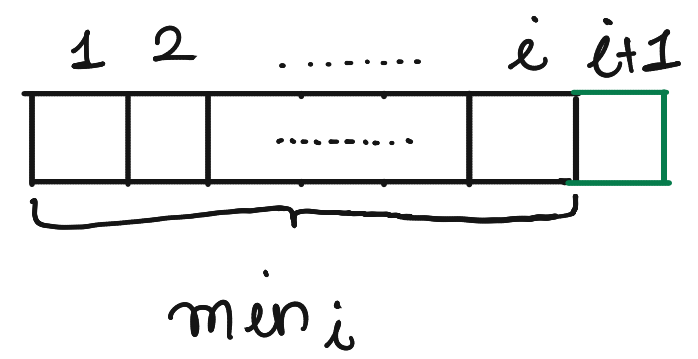


\Rightarrow AFTER THE i^{th} ITERATION min CONTAINS MINIMUM OF $A[1 \dots i]$

STATEMENT IS TRUE FOR $[1 \dots i]$



2) SHOW THAT AFTER THE $(i+1)^{\text{th}}$ ITERATION, min CONTAINS MINIMUM OF $A[1 \dots i+1]$



$$\begin{aligned} \text{min}_{i+1} &= \text{minimum} \{ \text{min}_i, A[i+1] \} \\ &\stackrel{\text{BY INDUCTION}}{\Downarrow} \text{HYPOTHESIS} \\ &= \text{minimum} \{ \text{minimum} \{ A[1], A[2], \dots, A[i] \}, A[i+1] \} \\ &= \text{minimum} \{ A[1], A[2], \dots, A[i+1] \} \end{aligned}$$

Sorting

10 2 8 7 6 1 3

Sorting

10 2 8 7 6 1 3

SORTING

2

10

8

7

6

1

3

SORTING

2

10

8

7

6

1

3

SORTING

2	10
---	----

8

7

6

1

3

SORTING

8

2	10
---	----

7 6 1 3

SORTING

8

2

10

7

6

1

3

SORTING

2	8	10
---	---	----

 7 6 1 3

SORTING

2	8	10
---	---	----

 7 6 1 3

2	7	8	10
---	---	---	----

 6 1 3

Sorting

2	8	10	7	6	1	3
---	---	----	---	---	---	---

2	7	8	10	6	1	3
---	---	---	----	---	---	---

2	6	7	8	10	1	3
---	---	---	---	----	---	---

1	2	6	7	8	10	3
---	---	---	---	---	----	---

1	2	3	6	7	8	10
---	---	---	---	---	---	----

INSERTION SORT ($A[i \dots n]$)
{

INSERTION SORT ($A[1 \dots n]$)

{

FOR $i \leftarrow 2$ TO n

FOR $j \leftarrow i$ TO 2

{ IF $A[j] < A[j-1]$

SWAP ($A[j], A[j-1]$)

ELSE

BREAK ;

}

}

CORRECTNESS

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CORRECTNESS

PATTERN OR INVARIANT : AFTER THE i th ITERATION,
 $A[1 \dots i]$ IS SORTED.

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PROOF: 1) BASE CASE, $i = 1$ TRIVIAALLY TRUE.

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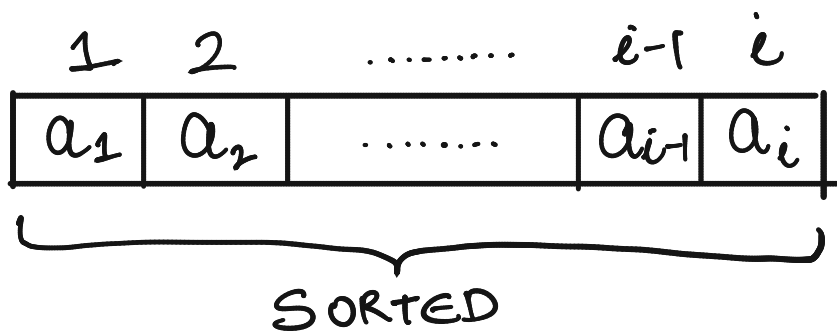
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TRIVIALLY TRUE.

2) INDUCTION HYPOTHESIS:



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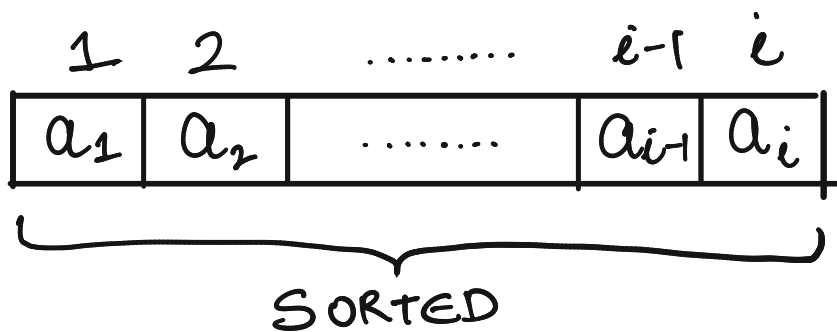
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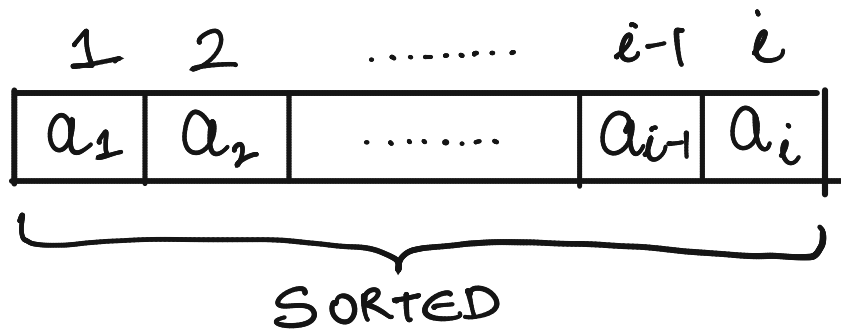
$$a_1 < a_2 < \dots < a_{i-1} < a_i$$

PATTERN OR INVARIANT: AFTER THE i^{th} ITERATION, $A[1 \dots i]$ IS SORTED.

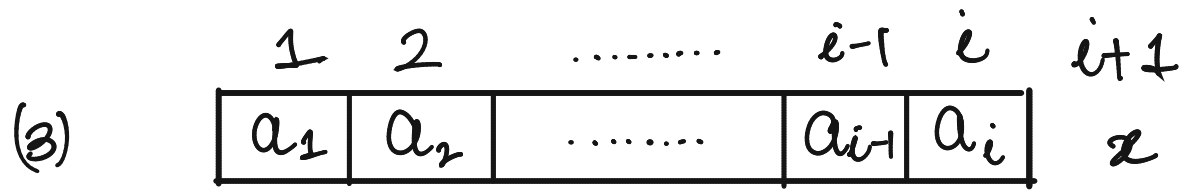
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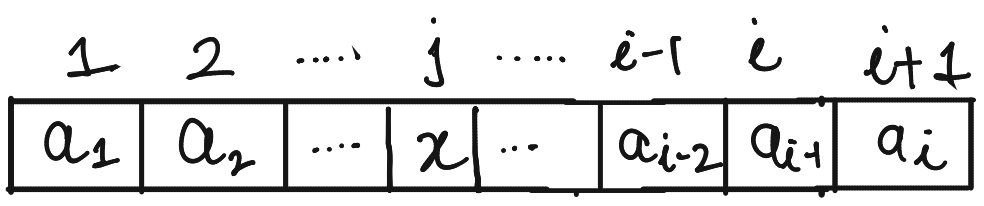
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$$a_1 < a_2 < \dots < a_{i-1} < a_i$$



AFTER i^{th} ITERATION

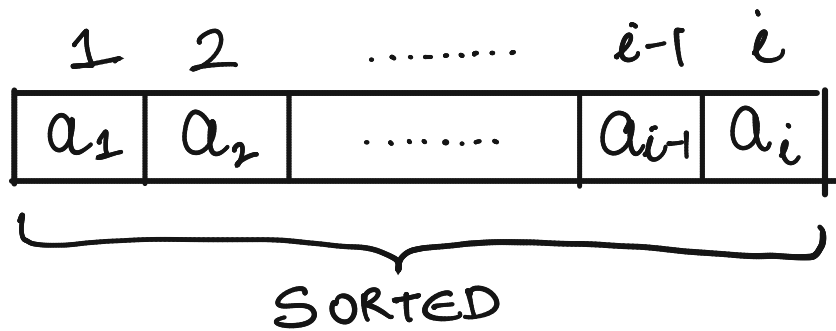


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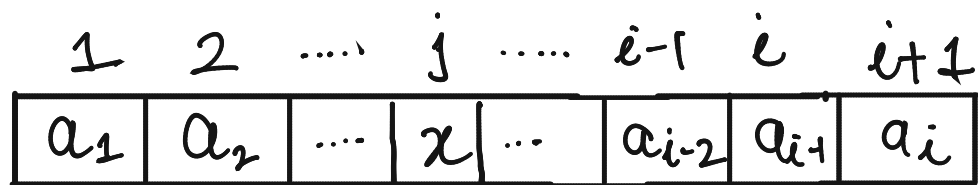
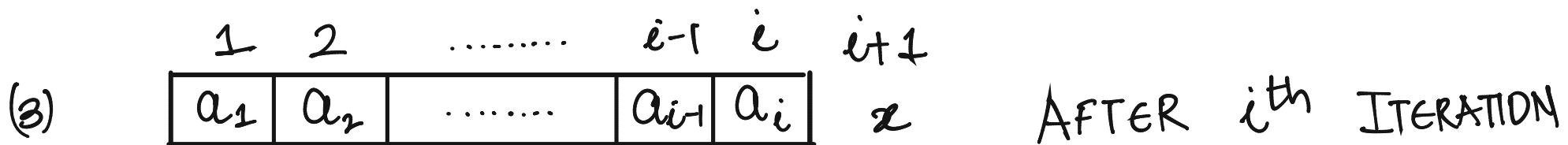
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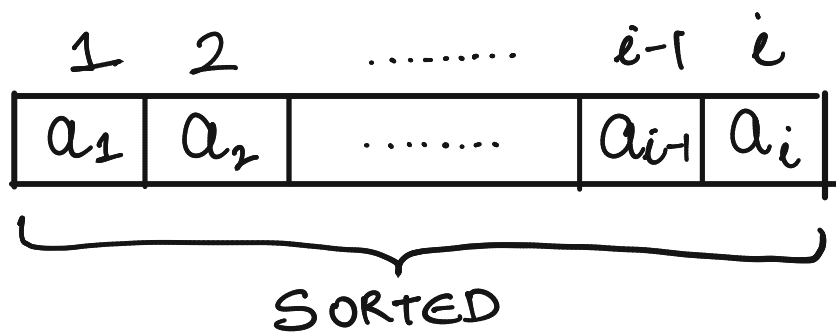
$$a_1 < a_2 < \dots < a_{j-1} \text{ ? } x \text{ ? } a_j < a_{j+1} < \dots < a_i$$

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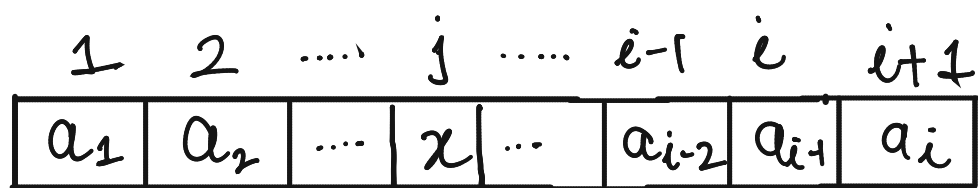
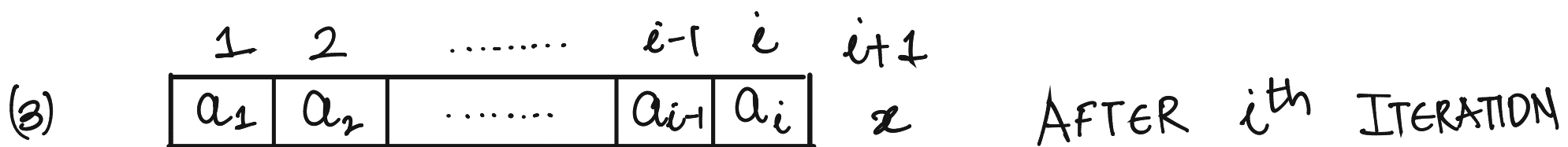
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TRIVIAALLY TRUE.

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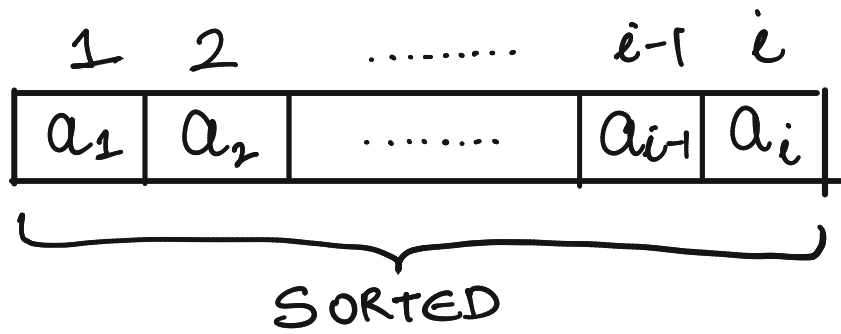
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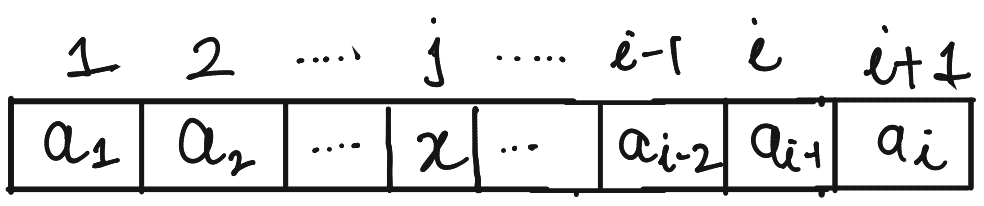
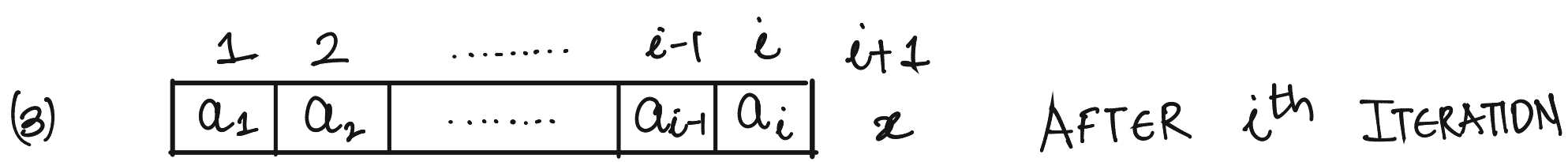
PROOF: 1) BASE CASE, $i = 1$

TRIVIAALLY TRUE.

2) INDUCTION HYPOTHESIS:



$$a_1 < a_2 < \dots < a_{i-1} < a_i$$



$$a_1 < a_2 < \dots < a_{j-1} ? \quad x < a_j < a_{j+1} < \dots < a_i$$

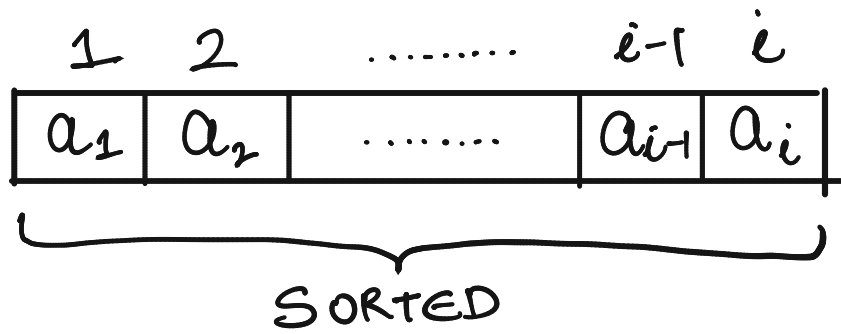
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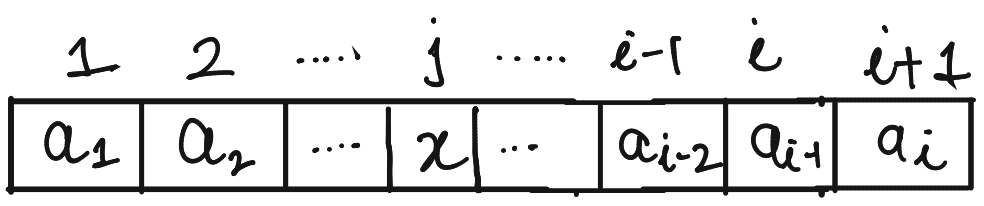
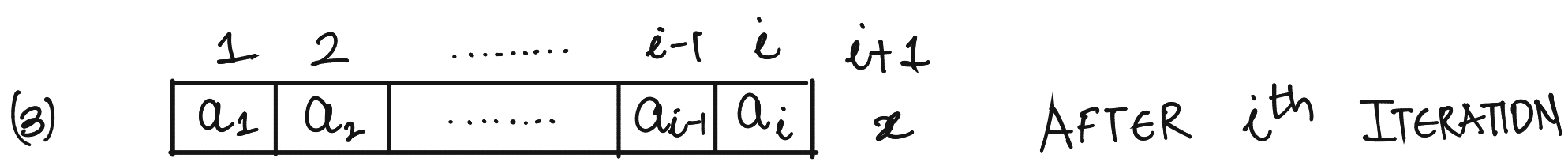
PROOF: 1) BASE CASE, $i = 1$

TRIVIAALLY TRUE.

2) INDUCTION HYPOTHESIS:



$$a_1 < a_2 < \dots < a_{i-1} < a_i$$



$$a_1 < a_2 < \dots < a_{j-1} < x < a_j < a_{j+1} < \dots < a_i$$

\uparrow
 SINCE OUR ALGORITHM STOPPED
 AT $j \Rightarrow a_{j-1} < x$.

INSERTION SORT ($A[1 \dots n]$)

{

FOR $i \leftarrow 2$ TO n

FOR $j \leftarrow i$ TO 2

{ IF $A[j] < A[j-1]$

SWAP ($A[j], A[j-1]$)

ELSE

BREAK ;

}

}

RUNNING TIME

INSERTION SORT ($A[1 \dots n]$)

{

FOR $i \leftarrow 2$ TO n

FOR $j \leftarrow i$ TO 2

{ IF $A[j] < A[j-1]$

SWAP ($A[j], A[j-1]$)

ELSE

BREAK ;

} c

}

}

$$\text{RUNNING TIME} = \sum_{i=2}^n \sum_{j=i}^2 c$$

INSERTION SORT ($A[1 \dots n]$)
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FOR $i \leftarrow 2$ TO n

FOR $j \leftarrow i$ TO 2

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ELSE

BREAK ;

} c

}

}

$$\text{RUNNING TIME} = \sum_{i=2}^n \sum_{j=i}^2 c$$

$$= \sum_{i=2}^n (i-1) c$$

$$= \frac{(n-1) \cdot n \cdot c}{2}$$

$$= O(n^2)$$

INSERTION SORT ($A[1 \dots n]$)
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FOR $i \leftarrow 2$ TO n

FOR $j \leftarrow i$ TO 2

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WORST CASE INPUT

INSERTION SORT ($A[1 \dots n]$)

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FOR $i \leftarrow 2$ TO n

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SWAP ($A[j], A[j-1]$)

ELSE

BREAK;

} c

}

}

$$\text{RUNNING TIME} = \sum_{i=2}^n \sum_{j=i}^2 c$$

$$= \sum_{i=2}^n (i-1)c$$

$$= \frac{(n-1) \cdot n \cdot c}{2}$$

$$= O(n^2)$$

WORST CASE INPUT

$n \quad n-1 \quad n-2 \quad \dots \quad 3 \quad 2 \quad 1$

BEST CASE INPUT

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
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Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?

⇓

Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

BEST CASE INPUT

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RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?



Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

n=3	<u>RUNNING TIME</u>		TOTAL RUNNING TIME
	i=2	i=3	
123	c	c	2c
132	c	2c	3c
213	2c	c	3c
231	c	3c	4c
312	2c	2c	4c
321	2c	3c	5c

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?



Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

$n=3$

RUNNING TIME

TOTAL RUNNING
TIME

	ITERATION 2	ITERATION 3	
1 2 3	c	c	2c
1 3 2	c	2c	3c
2 1 3	2c	c	3c
2 3 1	c	3c	4c
3 1 2	2c	2c	4c
3 2 1	2c	3c	5c

AVERAGE RUNNING TIME =

$$\frac{21c}{6}$$

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?



Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

$n=3$	<u>RUNNING TIME</u>		TOTAL RUNNING TIME
	ITERATION 2	ITERATION 3	
1 2 3	c	c	2c
1 3 2	c	2c	3c
2 1 3	2c	c	3c
2 3 1	c	3c	4c
3 1 2	2c	2c	4c
3 2 1	2c	3c	5c
AVERAGE RUNNING TIME =	$\frac{9c}{6}$	+ $\frac{12c}{6}$	+ $\frac{21c}{6}$

BEST CASE INPUT

1 2 3 n n-1
RUNNING TIME = $O(n)$

THE BEST CASE RUNNING TIME IS VERY FAST
AND WORST CASE IS VERY BAD.

Q: DOES INSERTION SORT GOOD OR BAD ON
OTHER INPUTS?



Q: ON AVERAGE, WHAT IS THE RUNNING TIME OF
INSERTION SORT

$n=3$	<u>RUNNING TIME</u>		TOTAL RUNNING TIME
	ITERATION 2	ITERATION 3	
1 2 3	c	c	2c
1 3 2	c	2c	3c
2 1 3	c	c	2c
2 3 1	c	2c	3c
3 1 2	c	2c	3c
3 2 1	c	2c	3c
AVERAGE RUNNING TIME =	$\frac{9c}{6}$	+ $\frac{12c}{6}$	+ $\frac{21c}{6}$
	↑ AVERAGE RUNNING TIME AT ITERATION 2		6

$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

AVERAGE RUNNING TIME IN ITERATION 2

$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

AVERAGE RUNNING TIME IN ITERATION 2

PERMUTATION : $a_1 a_2 a_3 \dots a_n$

AFTER 2nd ITERATION

CASE 1 : $a_1 a_2 a_3 \dots a_n$

CASE 2 : $a_2 a_1 a_3 \dots a_n$

RUNNING TIME

C

2C

$$\text{AVERAGE RUNNING TIME} = \sum_{i=2}^n \text{AVERAGE RUNNING TIME IN ITERATION } i$$

AVERAGE RUNNING TIME IN ITERATION 2

PERMUTATION : $a_1 a_2 a_3 \dots a_n$

AFTER 2nd ITERATION

RUNNING TIME

CASE 1 : $a_1 a_2 a_3 \dots a_n$

C

CASE 2 : $a_2 a_1 a_3 \dots a_n$

2C

Q: IN HOW MANY PERMUTATIONS, THERE IS NO SWAP IN ITERATION 2.

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A $\binom{n}{2} (n-2)! = \frac{n(n-1) \cdot (n-2)!}{2 \cdot 1} = \frac{n!}{2}$

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$$\text{AVERAGE RUNNING TIME IN ITERATION 2} = \frac{\left(\begin{array}{c} \# \text{ PERMUTATION OF} \\ \text{CASE 1} \end{array} \right) \times C + \left(\begin{array}{c} \# \text{ PERMUTATIONS OF} \\ \text{CASE 2} \end{array} \right) \times 2C}{n!}$$

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$$= \frac{\left(\frac{n!}{2} \right) \times C + \left(\frac{n!}{2} \right) \times 2C}{n!}$$

AVERAGE RUNNING TIME IN ITERATION 3

$$= \frac{\binom{n!}{3} \times c + \binom{n!}{3} \times 2c + \binom{n!}{3} \times 3c}{n!}$$

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AVERAGE RUNNING TIME IN ITERATION i

$$= \frac{\binom{n!}{i} \times c + \binom{n!}{i} \times 2c + \dots + \binom{n!}{i} \times i}{n!}$$

$$= \frac{c}{i} (1 + 2 + \dots + i) n!$$

$$= \frac{c}{i} \frac{i(i+1)}{2}$$

$$= \frac{c(i+1)}{2}$$

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$$= \sum_{i=2}^n c \frac{(i+1)}{2}$$

$$\leq c \frac{(n+1)(n+2)}{4}$$

$$= O(n^2)$$

WHEN SHOULD WE USE INSERTION SORT

WHEN SHOULD WE USE INSERTION SORT

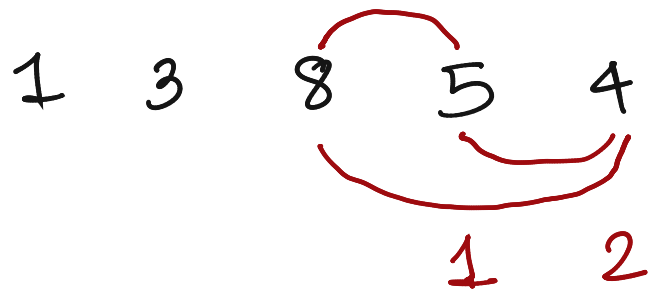
1) THE INPUT SIZE IS SMALL

2) THE INPUT IS NEARLY SORTED.

PROBLEM 1: IF $i < j$ & $A[i] > A[j]$, THEN PAIR
 (i, j) IS CALLED AN INVERSION.

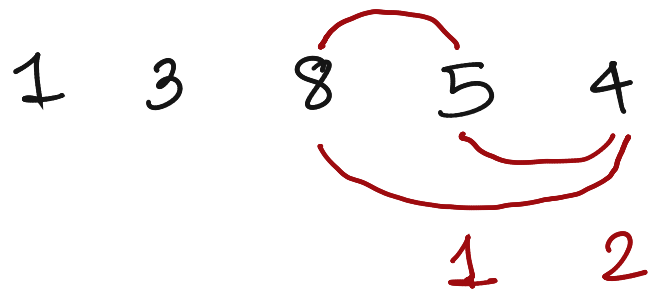
1 3 8 5 4

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INVERSIONS = 3

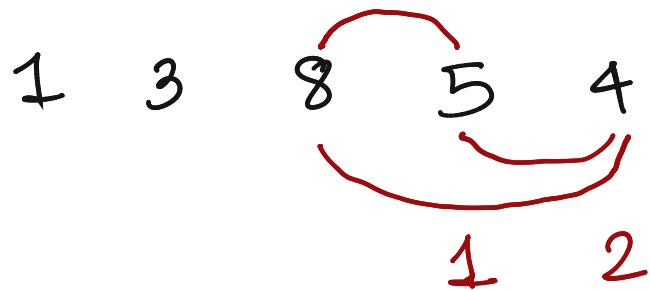
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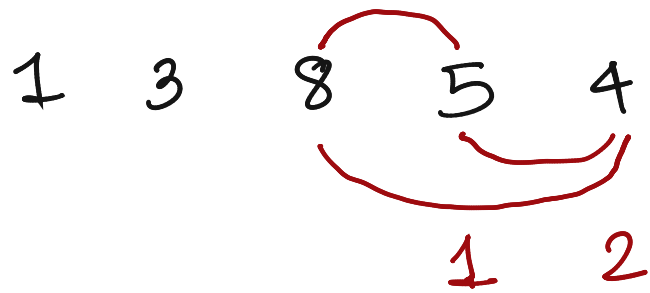
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$$\# \text{ INVERSIONS} = \frac{n(n-1)}{2}$$

PROBLEM 2: PROVE THAT THE RUNNING TIME OF
INSERTION SORT ON A PERMUTATION P
IS $O(n + I)$ WHERE I IS THE NUMBER
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INSERTION SORT ($A[1 \dots n]$)

{

FOR $i \leftarrow 2$ TO n

FOR $j \leftarrow i$ TO 2

{ IF $A[j] < A[j-1]$

SWAP ($A[j], A[j-1]$)

ELSE

BREAK ;

}

}

PROBLEM 2: PROVE THAT THE RUNNING TIME OF INSERTION SORT ON A PERMUTATION P IS $O(n + I)$ WHERE I IS THE NUMBER OF PERMUTATION P .

INSERTION SORT ($A[1 \dots n]$)

```
{
  FOR  $i \leftarrow 2$  TO  $n$ 
    FOR  $j \leftarrow i$  TO  $2$ 
      { IF  $A[j] < A[j-1]$  ← AN INVERSION EXISTS
        SWAP ( $A[j], A[j-1]$ )
      }
      ELSE
        BREAK ;
    }
}
```

↳ • FIXES INVERSION
• DOES NOT CREATE NEW INVERSION

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```

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→ NO INVERSION AT THE END SINCE THE ARRAY IS SORTED

⇒ RUNNING TIME = $O(n + I)$.

PROBLEM 3: ASSUME THAT YOU ARE GIVEN AN ARRAY IN WHICH EACH ELEMENT IS k AWAY FROM ITS PROPER POSITION. SHOW THAT INSERTION SORT TAKES $O(nk)$ TIME TO SORT SUCH AN ARRAY.

4 5 6 1 2 3 8

1 2 3 4 5 6 8

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4 5 6 1 2 3 8

1 2 3 4 5 6 8

A

i

i+7k

CAN $A[i] > A[i+7k]$?

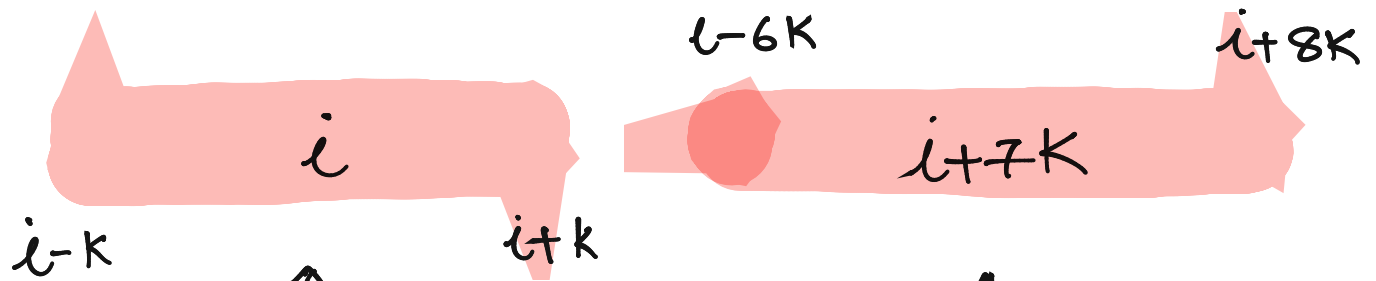
A

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$i+7k$

CAN $A[i] > A[i+7k]$?

A



FINAL POSITION IN WHICH THE NUMBER AT $A[i]$ WILL LIE

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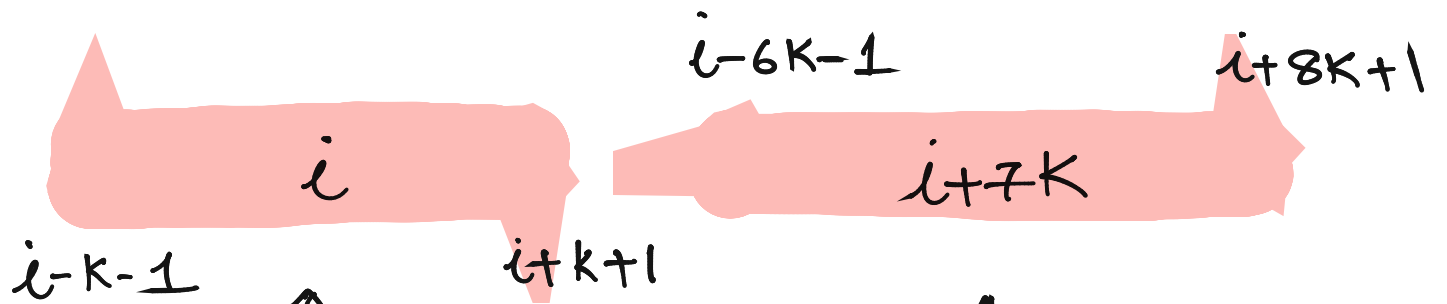
A

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$$\Rightarrow A[i] < A[i+7k]$$

$\Rightarrow (i, i+7k)$ DONOT FORM AN INVERSION PAIR

A

i

$i+2k-1$

CAN $A[i] > A[i+2k-1]$?

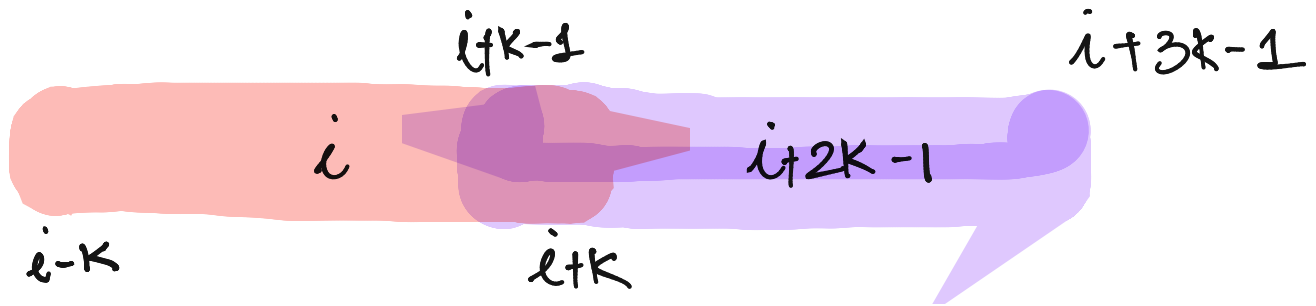
A

i

$i+2k-1$

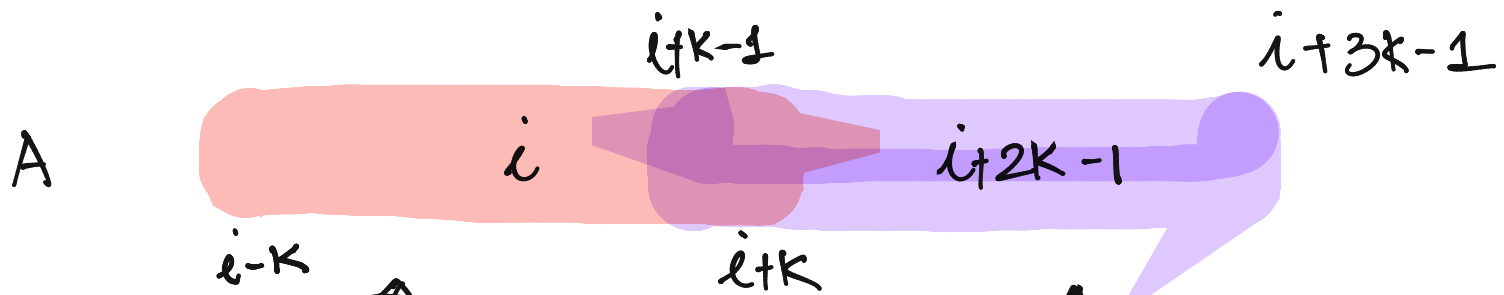
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A



A i $i+2k-1$

CAN $A[i] > A[i+2k-1]$?



FINAL POSITION IN WHICH THE NUMBER AT $A[i]$ WILL BE

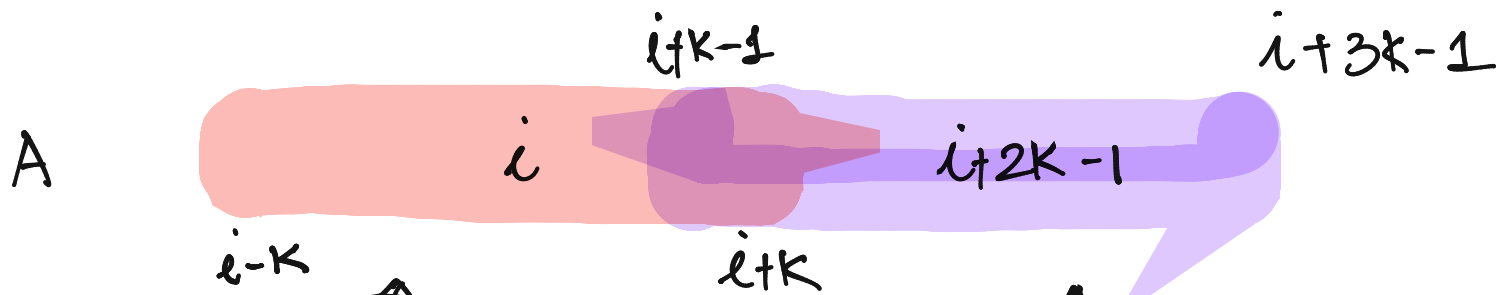
MAY BE $A[i+k]$

FINAL POSITION IN WHICH THE NUMBER AT $A[i+2k-1]$ WILL BE

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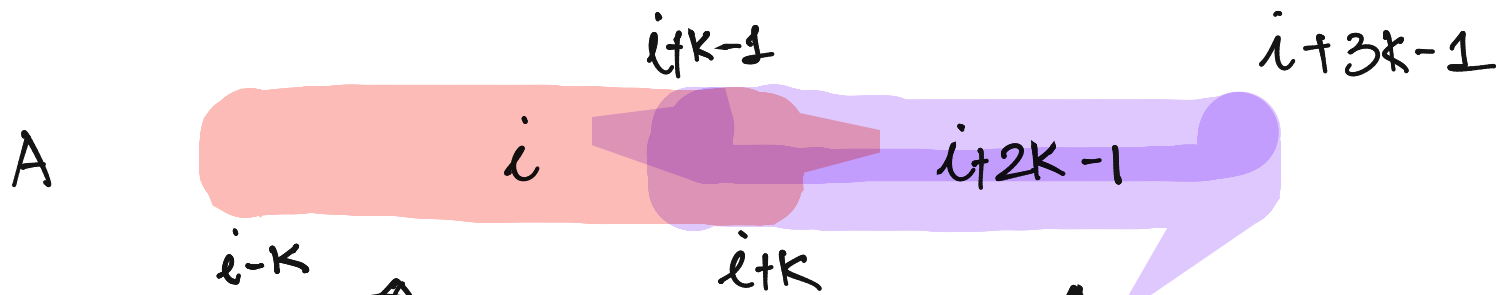
FINAL POSITION IN WHICH THE NUMBER AT $A[i+2k-1]$ WILL BE

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$\Rightarrow (i, i+2k-1)$ MAY FORM AN INVERSION PAIR

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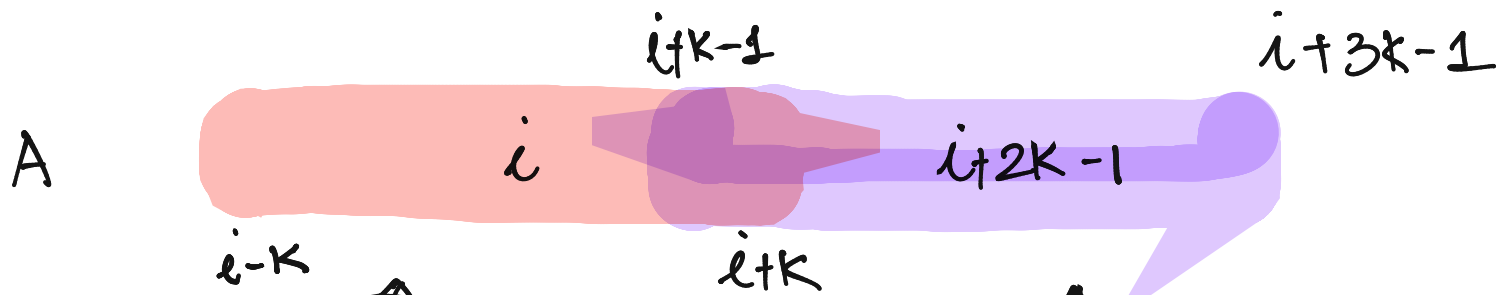


CAN FORM AN INVERSION PAIR

CANNOT FORM AN INVERSION PAIR

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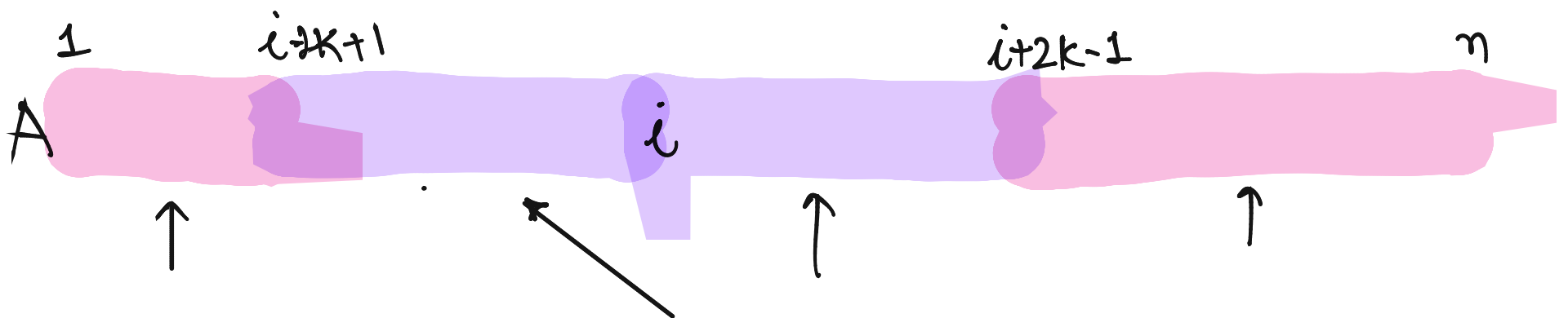
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CANNOT FORM AN INVERSION PAIR

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\Rightarrow # INVERSION PAIR INVOLVING $i \leq 4k$

$$\Rightarrow \# \text{ INVERSIONS} = \sum_{i=1}^n 4k$$

$$= O(nk)$$

\Rightarrow # INVERSION PAIR INVOLVING $i \leq 4k$

$$\begin{aligned}\Rightarrow \# \text{ INVERSIONS} &= \sum_{i=1}^n 4k \\ &= O(nk)\end{aligned}$$

$$\begin{aligned}\Rightarrow \text{RUNNING TIME OF INSERTION SORT} \\ &= O(n + \# \text{ INVERSIONS}) \\ &= O(n + nk) \\ &= O(nk)\end{aligned}$$